



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set. not just met

Stk/14-15/

14th OCT '2014

BOMBAY STOCK EXCHANGE LTD.,
Floor No: 25, P.J. Towers ,
Dalal Street
MUMBAI 400 001.

Dear Sir,

Sub: Compliance Report on Corporate Governance

Pursuant to Clause 49 of the Listing Agreement, we submit herewith compliance report on Corporate Governance for the Quarter ended 30th Sept' 2014. and also forwarding the same to your email id: corp.relations@bseindia.com.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,
For SICAPITAL & FINANCIAL SERVICES LTD.,


Authorised Signatory

Encl: as above

QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Company :

S I CAPITAL & FINANCIAL SERVICES LIMITED

Quarter Ending on :

30.09.2014

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No/N.A)	Remarks
1	2	3	4
1. Board of Directors	49 I	YES	-
(A) Composition of Board	49 (IA)	YES	-
(B) Non- Executive Directors , Compensation & disclousers	49 (IB)	YES	-
(C) Other proviisions as to Board and Committees	49(IC)	YES	-
(D) Code of Conduct	49(ID)	YES	-
II. Audit Committee	49(II)	YES	-
(A) Qualified & Independent Audit Committee	49(IIA)	YES	-
(B) Meeeting of Audit Committee	49(IIB)	YES	-
(C) Powers of Audit Committee	49(IIC)	YES	-
(D) Role of Audit Committee	49(IID)	YES	-
(E) Revies of Information by Audit Committee	49(IIE)	YES	-
III . Subsidiary Companies	49(III)	N.A.	-
IV. Disclosures	49(IV)	YES	-
(A) Basis of related party transactions	49(IVA)	YES	-
(B) Discloure of Account treatment	49(IVB)	YES	-
(C)Board Disclosures	49(IVD)	YES	-
(D) Procèed from public issued, reights issues, peferential issued etc.	49(IVD)	N.A.	-
(E) Remuneration of Diectors	49(IVE)	YES	-
(F) Management	49(IVF)	YES	-
(G) Shareholders	(49IVG)	YES	-
V. CEO / CFO Certification	49(V)	YES	-
VI. Report on Corporate Governance	49(VI)	YES	-
VII.Compliance	49(VII)	YES	-

For S.I. CAPITAL & FINANCIAL SERVICES LTD



DIRECTOR