



Let's talk Forex

# ***Si Capital & Financial Services Limited***

*Where Forex standards are set. not Just met*

13-14/Stk/

10<sup>TH</sup> Jan '2014

**Mr. Jeevan Noronha**

Manager – DCS

BSE LIMITED

Phiroze Jeejeebhoy Towers, Dalal Street

MUMBAI 400 001.

Dear Sir,

**Sub: Complaine Report on Corporate Governance**

Pursuant to Clause 49 of the Listing Agreement, we submit herewith compliance report on Corporate Governance for the Quarter ended 31<sup>st</sup> Dec 2013.

Kindly acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For SI CAPITAL & FINANCIAL SERVICES LTD.,

  
Authorised Signatory.

Encl : as above

## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Company :

S I CAPITAL & FINANCIAL SERVICES LIMITED

Quarter Ending on :

31.12.2013

Particulars	Clause of Listing Agreement	Compliance Status (Yes/No/N.A)	Remarks
1	2	3	4
<b>1. Board of Directors</b>	49 I	YES	-
(A) Composition of Board	49 (IA)	YES	-
( B ) Non- Executive Directors , Compensation & disclosers	49 (IB)	YES	-
( C ) Other provisions as to Board and Committees	49(IC)	YES	-
( D ) Code of Conduct	49(ID)	YES	-
<b>II. Audit Committee</b>	49(II)	YES	-
(A) Qualified & Independent Audit Committee	49(IIA)	YES	-
(B) Meeting of Audit Committee	49(IIB)	YES	-
( C ) Powers of Audit Committee	49(IIC)	YES	-
(D) Role of Audit Committee	49(IID)	YES	-
(E) Review of Information by Audit Committee	49(IIE)	YES	-
<b>III . Subsidiary Companies</b>	49(III)	N.A.	-
<b>IV. Disclosures</b>	49(IV)	YES	-
(A) Basis of related party transactions	49(IVA)	YES	-
(B) Disclosure of Account treatment	49(IVB)	YES	-
(C) Board Disclosures	49(IVD)	YES	-
(D) Proceed from public issued, rights issues, preferential issued etc.	49(IVD)	N.A.	-
(E) Remuneration of Directors	49(IVE)	YES	-
(F) Management	49(IVF)	YES	-
(G) Shareholders	(49IVG)	YES	-
<b>V. CEO / CFO Certification</b>	49(V)	YES	-
<b>VI. Report on Corporate Governance</b>	49(VI)	YES	-
<b>VII. Compliance</b>	49(VII)	YES	-

For S.I. CAPITAL & FINANCIAL SERVICES LTD

  
DIRECTOR