



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set. not just met

Stk/14-15/

13th Jan '2015

BOMBAY STOCK EXCHANGE LTD.,
Floor No: 25, P.J. Towers ,
Dalal Street
MUMBAI 400 001.

Dear Sir,

Sub: Shareholding Pattern 31.12.2014

We enclose herewith revised Shareholding Pattern for the quarter ended 31st December 2014 and also forwarding the same to your email id corp.relations@bseindia.com.

Kindly acknowledge receipt of the same.

Thanking you.

Yours faithfully,
For SI CAPITAL & FINANCIAL SERVICES LTD.,

Authorised Signatory

Encl: as above

Introductory sub-table (I)(a)

Name of the Company: S I CAPITAL FINANCIAL SERVICES LIMITED

Scrip Code, Name of the scrip, class of security:

Quarter ended: 31/12/2014

| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|---------------------------------|------------------------------|--|--|
| Held by promoter/promoter group | | | 0 |
| Held by public | | | 0 |
| Total - D | 0 | | 0 |

Outstanding convertible securities:- No. of outstanding securities

| | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
|---------------------------------|---|--|
| Held by promoter/promoter group | | 0 |
| Held by public | | 0 |
| Total - E | 0 | 0 |

Warrants:-

| | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
|---------------------------------|-----------------|---------------------------------|--|
| Held by promoter/promoter group | | | 0 |
| Held by public | | | 0 |
| Total - F | 0 | | 0 |

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

3000000

100



Statement Showing Shareholding Pattern

| Category code | Category of Shareholder | Number of Shareholders | Table (I)(a) | | | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|---|------------------------|------------------------|--|---------------------------------------|--|------------------|--|--|
| | | | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | Number of shares | As a percentage | |
| | | | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) = (VIII)/(IV)*100 | |
| (A) | Shareholding of Promoter and | | | | | | | | |
| 1 | Indian | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 25 | 1209050 | 917210 | 40.30 | 40.30 | | 0.00 | |
| (b) | Central Government/ State Government(s) | | | | | | | 0.00 | |
| (c) | Bodies Corporate | 1 | 504100 | 504100 | 16.80 | 16.80 | | 0.00 | |
| (d) | Financial Institutions/ Banks | | | | | | | 0.00 | |
| (e) | Any Others(Specify) | | | | | | | 0.00 | |
| (e-i) | | | | | | | | 0.00 | |
| (e-ii) | | | | | | | | 0.00 | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Sub Total(A)(1) | 26 | 1713150 | 1421310 | 57.11 | 57.11 | 0 | 0.00 | |
| 2 | Foreign | | | | | | | | |
| a | Individuals (Non-Residents Individuals/ Foreign Individuals) | | | | | | | #DIV/0! | |
| b | Bodies Corporate | | | | | | | 0.00 | |
| c | Institutions | | | | | | | 0.00 | |
| d | Any Others(Specify) | | | | | | | 0.00 | |
| d-i | | | | | | | | 0.00 | |
| d-ii | | | | | | | | 0.00 | |
| | | | | | | | | | |
| | | | | | | | | | |
| | Sub Total(A)(2) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | #DIV/0! | |
| | Total Shareholding of Promoter and | 26 | 1713150 | 1421310 | 57.11 | 57.11 | 0 | 0.00 | |
| (B) | Public shareholding | | | | | | | | |
| 1 | Institutions | | | | | | | | |
| (a) | Mutual Funds/ UTI | | | | | | | | |
| (b) | Financial Institutions- Banks | 1 | 9200 | 0 | 0.31 | 0.31 | | | |
| (c) | Central Government/ State Government(s) | | | | | | | | |
| (d) | Venture Capital Funds | | | | | | | | |
| (e) | Insurance Companies | | | | | | | | |
| (f) | Foreign Institutional Investors | | | | | | | | |
| (g) | Foreign Venture Capital Investors | | | | | | | | |
| (h) | Any Other (specify) | | | | | | | | |
| (h-i) | | | | | | | | | |
| (h-ii) | | | | | | | | | |
| | | | | | | | | | |
| | Sub-Total (B)(1) | 1 | 9200 | 0 | 0.31 | 0.31 | | | |
| B 2 | Non-institutions | | | | | | | | |
| (a) | Bodies Corporate | 22 | 33700 | 400 | 1.12 | 1.12 | | | |
| (b) | Individuals | | | | 0.00 | 0.00 | | | |
| i | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 1645 | 893650 | 170250 | 29.79 | 29.79 | | | |
| ii | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh | 10 | 350300 | 173400 | 11.68 | 11.68 | | | |
| (c) | Any Other (specify) | | | | | | | | |
| (c-i) | Clearing Member | | | | | | | | |
| (c-ii) | NRI | | | | | | | | |
| | | | | | | | | | |
| | Sub-Total (B)(2) | 1677 | 1277650 | 344030 | 42.59 | 42.59 | | | |
| (B) | Total Public Shareholding (B)= (B)(1)+(B)(2) | 1678 | 1286850 | 344030 | 42.90 | 42.90 | | | |
| | TOTAL (A)+(B) | 1704 | 3000000 | 1765340 | 100.00 | 100.00 | | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | | |
| 1 | Promoter and Promoter Group | | | | | 0.00 | | 0.00 | |
| 2 | Public | | | | | 0.00 | | 0.00 | |
| | Sub-Total (C) | 0 | 0 | 0 | | | 0 | 0 | |
| | GRAND TOTAL (A)+(B)+(C) | 1704 | 3000000 | 1765340 | 100.00 | 100.00 | 0 | 0.00 | |


For S. L. CAPITAL AND FINANCIAL SERVICES LTD



DIRECTOR

| Sr.No | Notes |
|-------|-------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |
| 5 | |
| 6 | |
| 7 | |
| 8 | |
| 9 | |

FOR S. I. CAPITAL AND FINANCIAL SERVICES LTD


DIRECTOR

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of Shares held | | Encumbered shares (%) | | | Details of warrants | | | Details of convertible securities | |
|---------|------------------------------------|------------------------|-----------------------------------|-----------------------|-------------------------------------|---|-------------------------|---|---------------------------------------|---|--|
| | | Number of shares held | As a % of grand total (A)+(B)+(C) | No. | As a percentage (D)/(A)+(B)+(C)*100 | As a % of grand total (A)+(B)+(C) of sub-class (D)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | |
| (#) | (#) | (#) | (%) | (#) | (%) | (%) | (#) | (%) | (#) | (%) | |
| 1 | V A KURIEN | 727310 | 24.24 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 2 | SUSIE ABRAHAM | 151100 | 5.04 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 3 | SANJAY ARJUNDAS WADHWHA | 100000 | 3.33 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 4 | SANJAY ARJUNDAS WADHWHA | 64500 | 2.15 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 5 | SANJAY ARJUNDAS WADHWHA | 45000 | 1.50 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 6 | MARY RODRIGUES | 38800 | 1.29 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 7 | ARJUNDAS POKARDAS | 16700 | 0.56 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 8 | SANJAY A WADHWHA | 16300 | 0.54 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 9 | SUZANNE VENGLET | 7330 | 0.24 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 10 | SARASU THOMAS | 6250 | 0.21 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 11 | AJITH THOMAS | 6250 | 0.21 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 12 | ABRAHAM THOMAS | 5000 | 0.17 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 13 | SANJAY ARJUNDAS WADHWHA | 5000 | 0.17 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 14 | ARJUNDAS POKARDAS HUF II | 5000 | 0.17 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 15 | ARJUNDAS POKARDAS HUF I | 4200 | 0.14 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 16 | SANJAY A WADHWHA HUF | 2400 | 0.08 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 17 | ARJUNDAS POKARDAS | 900 | 0.03 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 18 | N.T. KADAVILL | 700 | 0.02 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 19 | SANJAY WADHWHA | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 20 | KHALEEL M A C | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 21 | ABRAHAM THOMAS | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 22 | SASIKUMAR C | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 23 | GEORGE C A | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 24 | KADAVILL N T | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 25 | SUZANNE VENGLET | 10 | 0.00 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 25 | TOTAL | 1209050 | 40.30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1 | SOUTHERN WAREHOUSING CO. PVT. LTD. | 504100 | 16.80 | | 0.00 | 0.00 | 0 | 0 | 0 | 0 | |
| 1 | TOTAL | 504100 | 16.80 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

FOR S. L. CAPITAL AND FINANCIAL SERVICES LTD.


DIRECTOR

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|-------------------------|-----------------------|--|-------------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | JAYANT PRANJIWANDAS | 57900 | 1.93 | 0 | 0 | 0 | 0 | |
| 2 | SURENDRA KUMAR NAKHAT | 53700 | 1.79 | 0 | 0 | 0 | 0 | |
| 3 | DIVYA SHARMA | 50000 | 1.67 | 0 | 0 | 0 | 0 | |
| 4 | VRINDA S WADHWA | 35500 | 1.18 | 0 | 0 | 0 | 0 | |
| TOTAL | | 197100 | 6.57 | 0 | 0 | 0 | 0 | 0 |

For S. L. CAPITAL AND FINANCIAL SERVICES LTD


DIRECTOR

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|---|------------------|--|---------------------|---|---------------------------------------|--|--|
| | | | | Number of warrants | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class | |
| 1 | | | 0.00 | | 0 | | | 0 |
| | | | | | | | | |
| | | | | | | | | |
| TOTAL | | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 |

for S. I. CAPITAL AND FINANCIAL SERVICES LTD



DIRECTOR

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above} |
|--------------|--|------------------------------|--|--|
| | | | | |
| | NILL | | | |
| | | | | |
| TOTAL | | 0 | 0 | 0.00 |

For S. L. CAPITAL AND FINANCIAL SERVICES LTD



DIRECTOR

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
| | NIL | | 0 | 0.00 |
| TOTAL | | | | |

for S. L. CAPITAL AND FINANCIAL SERVICES LTD


WITNESSES