



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set. not just met

10th Jan 2014

13-14/

The Secretary
BOMBAY STOCK EXCHANGE LTD.,
Floor No:25, P.J. Towers
Dalal Street,
MUMBAI 400 001.

Dear Sir,

Please find herewith sending through courier Shareholding Pattern for the quarter ended 31st December 2013 and forwarding the same to your email id : corp.relations@bseindia.com.

Thanking you,

Yours faithfully
For **SI CAPITAL & FINANCIAL SERVICES LTD.,**

Authorised Signatory

Encl: as above

Introductory sub-table (I)(a)

Name of the Company: S I CAPITAL FINANCIAL SERVICES LIMITED			
Scrip Code, Name of the scrip, class of security:			
Quarter ended: 31/12/2013			
Partly paid-up shares:-		As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group		0	0
Held by public		0	0
Total - D	0		0
Outstanding convertible securities:-		No. of outstanding securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group		0	0
Held by public		0	0
Total - E	0		0
Warrants:-		No. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group		0	0
Held by public		0	0
Total - F	0		0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities		3000000	100

For S.I. CAPITAL & FINANCIAL SERVICES LTD


DIRECTOR

Sr.No	Notes
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FOR S.H. CAPITAL & FINANCE PVT. LIMITED



DIRECTOR

Statement Showing Shareholding Pattern								
Categ-ory code	Category of Shareholder	Number of Shareholders	Table (I)(a)				Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	As a percentage
			(IV)	(V)	As a percentage of (A+B)	As a percentage of (A+B+C)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals (Hindu Undivided Family)							
		24	966050	730310	31.53	31.53		0.00
(b)	Central Government/ State Government(s)							0.00
(c)	Indian Corporate	4	580000	429000	19.67	19.67		0.00
(d)	Financial Institutions/ Banks							0.00
(e)	Any Other (Specify)							0.00
(f)								0.00
(g)								0.00
	Sub-Total (A1)	18	1538020	1169210	51.17	51.17	0	0.00
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							0.00
(b)	Foreign Corporate							0.00
(c)	Institutions							0.00
(d)	Any Other (Specify)							0.00
(e)								0.00
	Sub-Total (A2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	18	1538020	1169210	51.17	51.17	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds (LT)							
(b)	Financial Institutions/ Banks	1	9200	0	0.31	0.31		
(c)	Central Government/ State Government(s)							
(d)	Venture Capital Fund							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (Specify)							
(i)								
	Sub-Total (B1)	1	9200	0	0.31	0.31		
B 2	Non-institutions							
(a)	Indian Corporate	25	212100	800	7.10	7.10		
(b)	Individuals							
(i)	Individuals - Individual shareholders holding nominal share capital up to Rs. 1 lakh	1053	1000000	117750	33.56	33.56		
(ii)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	8	255000	58000	7.86	7.86		
(c)	Any Other (Specify)							
(d)	Clearing Member							
(e)	NRI							
	Sub-Total (B2)	1078	1455700	257000	48.53	48.53		
(B)	Total Public Shareholding (B1 + B2)	1079	1464900	257000	48.83	48.83		
(C)	Shares held by Custodian and against which Depository Receipts have been issued	1710	3000000	1406240	100.00	100.00		
1	Promoter and Promoter Group							0.00
2	Public							0.00
	Sub-Total (C)	0	0	0	0	0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	2710	3000000	1406240	100.00	100.00	0	0.00

For S.I. CAPITAL & FINANCIAL SERVICES LTD.

DIRECTOR

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.	Name of the shareholder	Details of Shares held			Encumbered shares (%)				Details of warrants			Details of convertible securities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)		No.	As a percentage (V)=(V)/(100)*100	As a % of grand total (A)+(B)+(C) of sub-class (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
			(iii)	(iv)									
No.	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(100)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)		
1	V A KURIEN	572410	19.08	0.00	0.00	0.00	0	0	0	0	0		
2	SANJAY ARJUNDAS WADHWAN	100000	3.33	0.00	0.00	0.00	0	0	0	0	0		
3	SUSIE ABRAHAM	145200	4.84	0.00	0.00	0.00	0	0	0	0	0		
4	SANJAY ARJUNDAS WADHWAN	45080	1.50	0.00	0.00	0.00	0	0	0	0	0		
5	MARY RODRIGUES	38500	1.28	0.00	0.00	0.00	0	0	0	0	0		
6	ARJUNDAS POKARDAS	16700	0.56	0.00	0.00	0.00	0	0	0	0	0		
7	SANJAY A WADHWAN	16300	0.54	0.00	0.00	0.00	0	0	0	0	0		
8	SANJAY ARJUNDAS WADHWAN	5000	0.17	0.00	0.00	0.00	0	0	0	0	0		
9	SANJAY A WADHWAN HUF	4200	0.14	0.00	0.00	0.00	0	0	0	0	0		
10	ARJUNDAS POKARDAS	2400	0.08	0.00	0.00	0.00	0	0	0	0	0		
11	MARY RODRIGUES	300	0.01	0.00	0.00	0.00	0	0	0	0	0		
12	SUZANNE MENGLER	10	0.00	0.00	0.00	0.00	0	0	0	0	0		
12	TOTAL	946020	31.53	0	0	0	0	0	0	0	0		
1	SOUTHERN WAREHOUSING CO. PVT. L.	429900	14.33	0.00	0.00	0.00	0	0	0	0	0		
2	SOUTHERN WAREHOUSING CO. PVT. L.	9100	0.30	0.00	0.00	0.00	0	0	0	0	0		
3	S I PROPERTY DEVELOPMENT LTD	110000	3.67	0.00	0.00	0.00	0	0	0	0	0		
4	S I PROPERTY DEVELOPMENT LTD	40000	1.33	0.00	0.00	0.00	0	0	0	0	0		
4	TOTAL	589100	19.63	0	0	0	0	0	0	0	0		

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For S I CAPITAL & FINANCIAL SERVICES LTD


DIRECTOR

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class.	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PYRAMID AUDIO IND LTD	179000	5.97		0		0	
2	JAYANT PRANJIVANDAS	57900	1.93		0		0	
3	SURENDRA KUMAR NAKHAT	53700	1.79		0		0	
5	VRINDA S WADHWA	35500	1.18		0		0	
	TOTAL	326100	10.87	0	0	0	0	0

For S.I CAPITAL & FINANCIAL SERVICES LTD


DIRECTOR

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PYRAMID AUDIO IND LTD	179000	5.97		0		0	
TOTAL		179000	5.97	0	0	0	0	0

For S.I CAPITAL & FINANCIAL SERVICES LTD

 DIRECTOR

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)
	NILL		

For S.I CAPITAL & FINANCIAL SERVICES LTD



DIRECTOR

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3	NILL			0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

For & on behalf of FINANCIAL SERVICES LTD

DIRECTOR

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above]
1				0.00
2				0.00
3				0.00
4	NIR			0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

FOR SA CAPITAL & FINANCIAL SERVICES LTD


DIRECTOR