

General information about company

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|--|--|
| Scrip code | 530907 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE417F01017 |
| Name of the company | S.I.Capital & Financial Services Limited |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|---|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |

| | | | | | |
|---|--|----|----|----|----|
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V) + (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 1 | 1827850 | | | 1827850 | 60.93 | 1827850 | | 1827850 | 60.93 |
| (B) | Public | 1622 | 1172150 | | | 1172150 | 39.07 | 1172150 | | 1172150 | 39.07 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |

| | | | | | | | | | | | |
|------|--------------------------------|------|---------|--|--|---------|-----|---------|--|---------|-----|
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1623 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|------------------------------|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 60.93 | | | | | 1827850 |
| (B) | Public | | | | 39.07 | | | | | 260700 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |

| | | | | | | | | | | | |
|------|--------------------------------|--|--|--|-----|--|--|--|--|--|---------|
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | | | | 100 | | | | | | 2088550 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV) + (V) + (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A + B + C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|--|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (d) | Any Other (specify) | 1 | 1827850 | | | 1827850 | 60.93 | 1827850 | | 1827850 | 60.93 |
| Sub-Total (A)(1) | | 1 | 1827850 | | | 1827850 | 60.93 | 1827850 | | 1827850 | 60.93 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | | 1 | 1827850 | | | 1827850 | 60.93 | 1827850 | | 1827850 | 60.93 |

| B | | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
|---|---|--|---------|--|--|---------|-------|---------|--|---------|-------|
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 1 | 9200 | | | 9200 | 0.31 | 9200 | | 9200 | 0.31 |
| Sub-Total (B)(1) | | 1 | 9200 | | | 9200 | 0.31 | 9200 | | 9200 | 0.31 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1596 | 985650 | | | 985650 | 32.86 | 985650 | | 985650 | 32.86 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 3 | 139700 | | | 139700 | 4.66 | 139700 | | 139700 | 4.66 |
| (e) | Any Other (specify) | 22 | 37600 | | | 37600 | 1.25 | 37600 | | 37600 | 1.25 |
| Sub-Total (B)(3) | | 1621 | 1162950 | | | 1162950 | 38.77 | 1162950 | | 1162950 | 38.77 |
| Total Public Shareholding (B)=(B)(1) +(B)(2)+(B)(3) | | 1622 | 1172150 | | | 1172150 | 39.07 | 1172150 | | 1172150 | 39.07 |

| | | | | | | | | | | | |
|-------------------|---|------|---------|--|--|---------|-----|---------|--|---------|-----|
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B +C2) | | 1623 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |
| Total (A+B +C) | | 1623 | 3000000 | | | 3000000 | 100 | 3000000 | | 3000000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-------------------|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|---------|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| (d) | | | | 60.93 | | | | | | 1827850 |
| Sub-Total (A) (1) | | | | 60.93 | | | | | | 1827850 |
| (2) | Foreign | | | | | | | | | |

| | | | | | | | | | |
|--|---|--|--|-------|--|--|--|--|---------|
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 60.93 | | | | | 1827850 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.31 | | | | | 0 |
| Sub-Total (B) (1) | | | | 0.31 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 32.86 | | | | | 220900 |
| (a(ii)) | | | | 4.66 | | | | | 35500 |
| (e) | | | | 1.25 | | | | | 4300 |
| Sub-Total (B) (3) | | | | 38.77 | | | | | 260700 |
| Total Public Shareholding (B)=(B)(1) +(B)(2)+(B) (3) | | | | 39.07 | | | | | 260700 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B +C2) | | | | 100 | | | | | 2088550 |
| Total (A+B +C) | | | | 100 | | | | | 2088550 |

Any Other (specify)

| | | |
|---|----------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SHAREWEALTH SECURITIES LTD | |
| PAN (II) | AAKCS3101P | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 1827850 | 1827850 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV) + (V) + (VI) | 1827850 | 1827850 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 60.93 | 60.93 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1827850 | 1827850 |
| Class eg:y | | |

| | | |
|--|---------|---------|
| Total | 1827850 | 1827850 |
| Total as a % of Total Voting rights | 60.93 | 60.93 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2) | 60.93 | 60.93 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |

| | | |
|---|----------|---------|
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1827850 | 1827850 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Serial No. | 1 | 2 | 3 | |
|---|---------------------|-----------------------|-----------------|---------------------------------------|
| Name of the Shareholders (I) | JAYANT PRANJIWANDAS | SURENDRA KUMAR NAKHAT | VRINDA S WADHWA | Click here to go back |
| PAN (II) | ZZZZZ9999Z | AJCPS1741D | ABRPV6228K | Total |
| No. of fully paid up equity shares held (IV) | 57900 | 46300 | 35500 | 139700 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV) + (V) + (VI) | 57900 | 46300 | 35500 | 139700 |

| | | | | |
|---|-------|-------|-------|--------|
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.93 | 1.54 | 1.18 | 4.66 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 57900 | 46300 | 35500 | 139700 |
| Class eg:y | | | | |
| Total | 57900 | 46300 | 35500 | 139700 |
| Total as a % of Total Voting rights | 1.93 | 1.54 | 1.18 | 4.66 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)

1.93

1.54

1.18

4.66

Number of Locked in shares (XII)

No. (a)

As a % of total Shares held (b)

Number of equity shares held in dematerialized form (XIV)

0

0

35500

35500

Reason for not providing PAN

Reason for not providing PAN

Textual Information(1)

Text Block

Textual Information(1)

Physical Folio

Any Other (specify)

Serial No.

1

2

Category

Bodies Corporate

Non-Resident Indian (NRI)

| Category / More than 1 percentage | Category | Category | |
|---|----------|----------|---------------------------------------|
| Name of the Shareholders (I) | | | Click here to go back |
| PAN (II) | | | Total |
| No. of the Shareholders (I) | 21 | 1 | 22 |
| No. of fully paid up equity shares held (IV) | 33500 | 4100 | 37600 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV) +(V)+ (VI) | 33500 | 4100 | 37600 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.12 | 0.14 | 1.26 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 33500 | 4100 | 37600 |
| Class eg:y | | | |
| Total | 33500 | 4100 | 37600 |
| Total as a % of Total Voting rights | 1.12 | 0.14 | 1.26 |

| | | | |
|--|------|------|------|
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2) | 1.12 | 0.14 | 1.26 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 200 | 4100 | 4300 |
| Reason for not providing PAN | | | |

Reason for not
providing PAN

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence